

Science Spotlight On Hierarchical System Development for Earth System Models

**Mike Ek^{1,2}, Tracy Hertneky^{1,2}, Xia Sun^{2,3,4}, Ligia Bernardet^{2,3},
Tara Jensen^{1,2}, Lulin Xue^{1,2}, Jeff Beck^{2,3,5}, Jimy Dudhia^{1,2},
Grant Firl^{2,3,5}, Mike Kavulich^{1,2}, Weiwei Li^{1,2}, Louisa Nance^{1,2},
Kathryn Newman^{1,2}, Linlin Pan^{2,3,4}, Dustin Swales^{2,3}, Sam Trahan^{2,3,4},
Man Zhang^{2,3,4}, Christiane Jablonowski⁶, Cristiana Stan⁷, Lou Wicker⁸,
Stelios Flampouris^{9,10}, Yi-Cheng Teng^{9,10}, Tim Schneider¹**

**¹NCAR, ²DTC, ³NOAA GSL, ⁴CU CIRES, ⁵CSU CIRA, ⁶Univ. Michigan, ⁷George Mason Univ.,
⁸NOAA NSSL, ⁹Tomorrow.io, ¹⁰Earth Prediction Innovation Center**

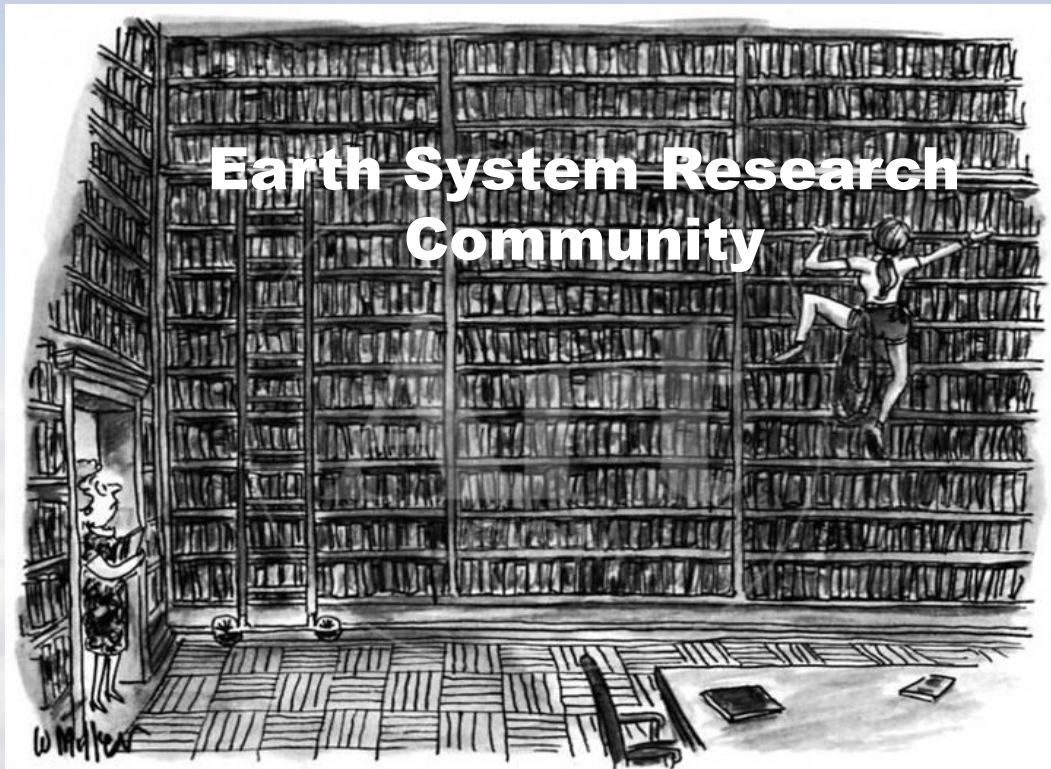


**UFS workshop (Unifying Innovations in Forecasting Capabilities Workshop)
NCAR, Boulder, Colorado · 24-28 July 2023**

Developmental Testbed Center

Earth System Model Development Process

Previous (or Current?!) Paradigm



Earth System Research Community



Here you go!

Operations

"Toss it over the fence" ?!

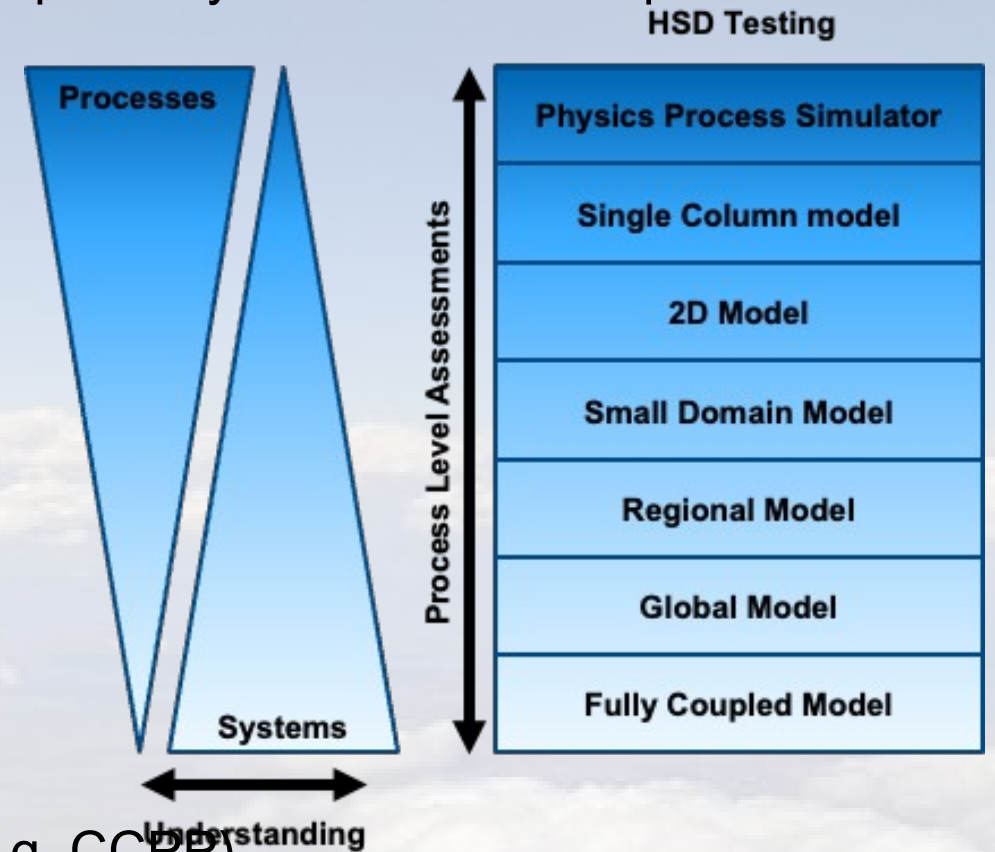
A lot of great research!

...that needs to be identified and transitioned somehow.

But how to improve this process?

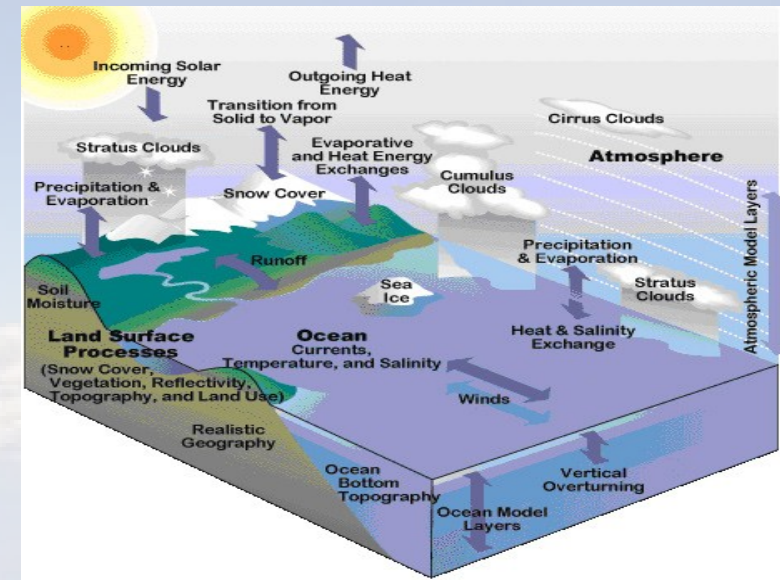
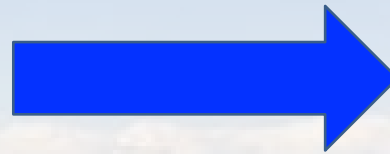
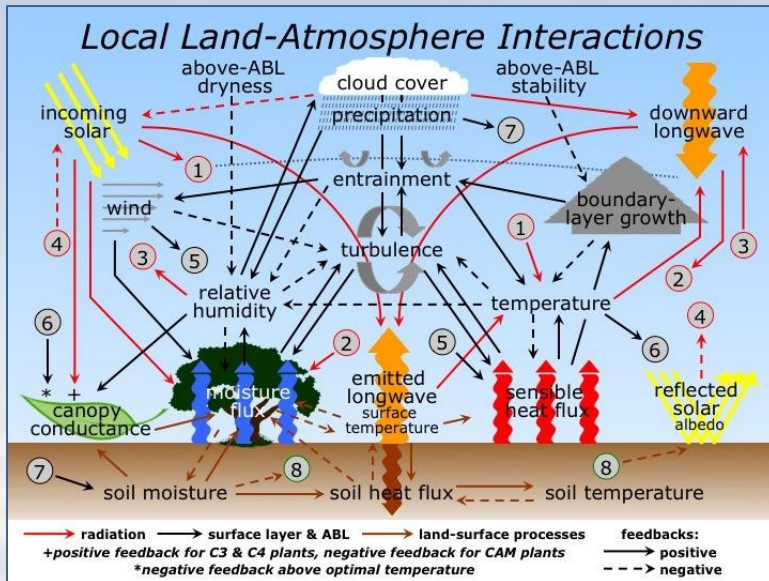
Hierarchical System Development: What is it?

- Hierarchical System Development (HSD) is an efficient pathway for model development.
- Enables model development community with multiple entry points for research efforts spanning simple to complex.
- Many unique perspectives of HSD, defined by Model complexity, Model configurations (Jeevanjee et al. 2017), or Principles of large-scale circulation (Maher et al. 2019).
- Serves as an end-to-end system, i.e. data ingest and quality control, data assimilation, modeling, post-processing, and verification, with a corresponding configurable workflow.
- Infrastructure: necessary to connect the HSD steps (e.g. CCPP).
- Verification: necessary to assess model performance (e.g. METplus).



Hierarchical System Development: Why do we need it?

→ **Many Earth System processes** to model, from local to regional & global.



→ **To understand model biases**, we often need to start by simplifying the atmosphere/earth system down to a few key processes and interactions.

→ **For efficient use of compute resources**, need to identify/fix bugs early in the testing process... before making longer model runs.

Hierarchical System Development: Brief Background

→ WCRP Climate workshop in 2016: Climate community uses hierarchical approach to understand model components and behavior. Follow-on Aug-Sep 2022: 2nd Model Hierarchies Workshop.

→ Tim Palmer (Univ. Oxford, UK MetOffice, ECMWF):
“Hierarchical thinking should be second nature for all weather/climate scientists (of course).”

→ Julia Slingo’s (UK Met Office) 2017 review of WCRP: **Increase focus on process-level understanding for model improvement, and connect weather and climate.**

→ Christian Jakob (Monash U., Australia; AMS BAMS 2010):
“...community needs to improve diagnosis of processes contributing to model errors, with **more model developers needed!** *Not just model users!*”

→ See the HSD-focused article that leverages Jakob (2010):

WCRP
World Climate Research Programme

About WCRP | Core Projects | Unifying Themes | Grand Challenges | Lighthouse

Model Hierarchies Workshop

Princeton University, New Jersey, USA, 2-4 November 2016

The Modeling Hierarchies Workshop will be held on the campus of Princeton University, New Jersey, USA. The meeting will run from 13:00, 2 November 2016 to 17:00, 4 November 2016. This meeting is held in conjunction with WGM-20, which runs from 1-2 November 2016.

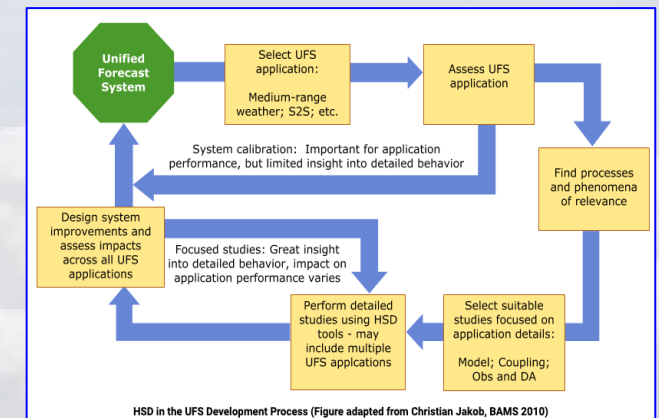
Background

In "On Exactitude in Science", the Argentinian writer Borges tells the parable of a nation bankrupted by its cartographers, who endeavoured to create a map of the country on the scale of the country itself. It is sometimes argued that builders of Earth system models, which continue to grow in resolution and complexity, create what, in essence, Borges's cartographers produce. Models do not just imitate their behaviour: it is as rich and mysterious as the planet's itself, may

Venue

PRINCETON UNIVERSITY
Princeton University
McDonnell Hall

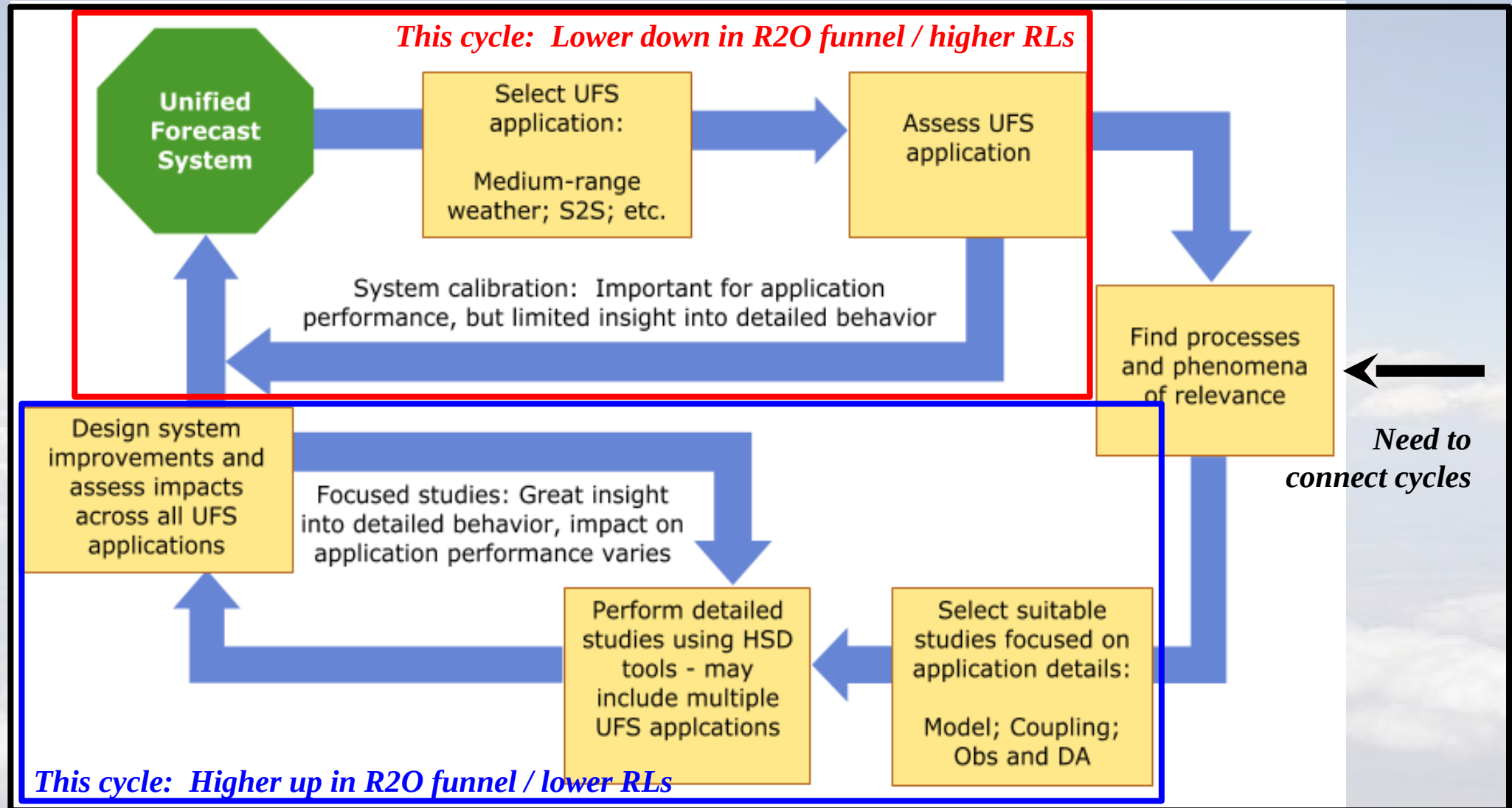
<https://www.wcrp-climate.org/gc-model-hierarchies-home>



HSD R2O cycles

www.ufscommunity.org/articles/hierarchical-system-development-for-the-ufs

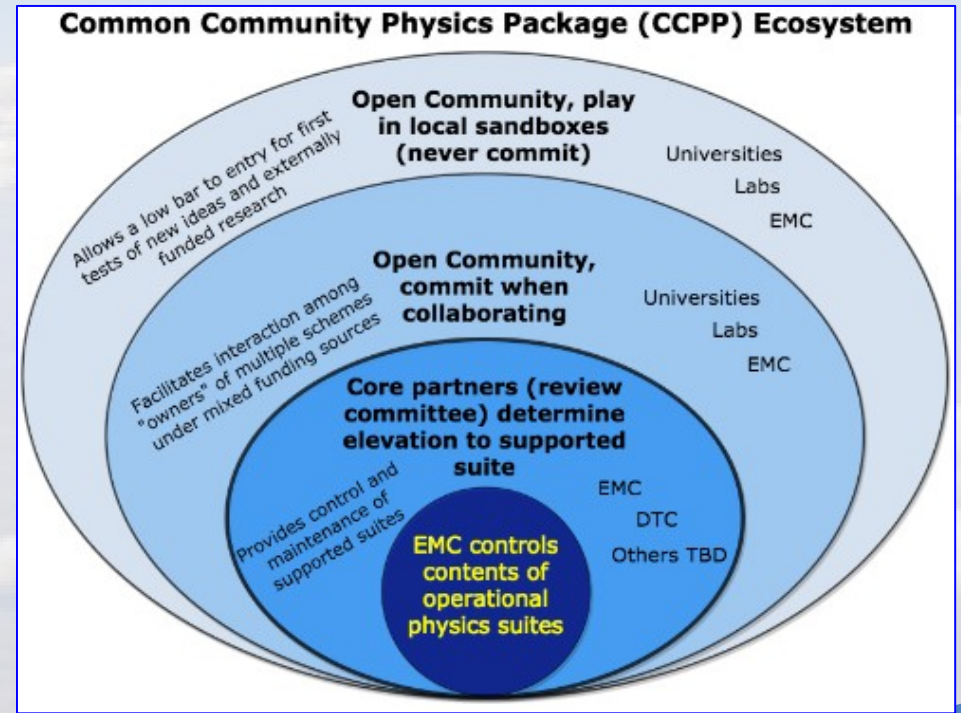
Hierarchical System Development: R2O cycles



HSD in the UFS Development Process (Figure adapted from Christian Jakob, BAMS 2010)

How to connect HSD steps to improve the model development process?

- ➔ **Infrastructure to connect the HSD steps: the Common Community Physics Package (CCPP)** provides a method for testing atmospheric physics (and land).
- ➔ CCPP consists of a **library of physical parameterizations** (which can be grouped as physics suites) and an **infrastructure to connect physics with host models**.
- ➔ **CCPP is designed to lower the bar** for community involvement in physics testing and development through increased interoperability, improved documentation, and continuous support to developers and users.
- ➔ The **CCPP** and CCPP Single Column Model (SCM) provide a **software infrastructure that is an enabling tool that helps to more efficiently connect Hierarchical System Development steps**.
- ➔ CCPP has been integrated into the NOAA Unified Forecast System (UFS) for global and regional NWP, and is currently being integrated into NCAR & Naval Research Lab models, and leverages the NOAA-NCAR MOA on sharing modeling infrastructure, e.g. CCPP.



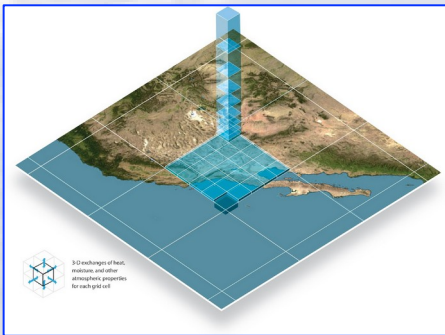
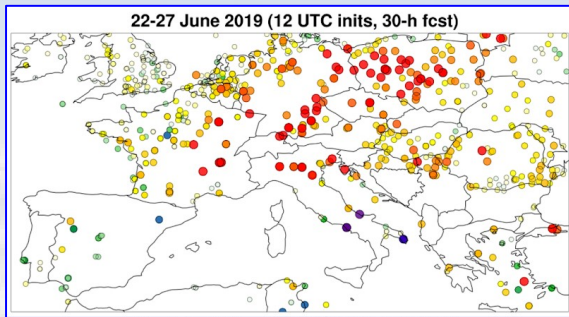
<https://dtcenter.org/ccpp>

How to connect HSD steps to improve the model development process?

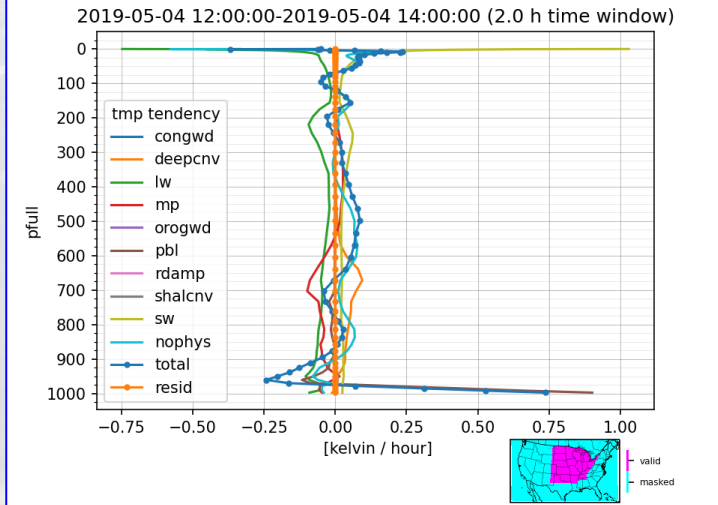
➔ METplus verification software for NWP and other Earth system models for the HSD steps.

➔ METplus includes metrics at the process level.

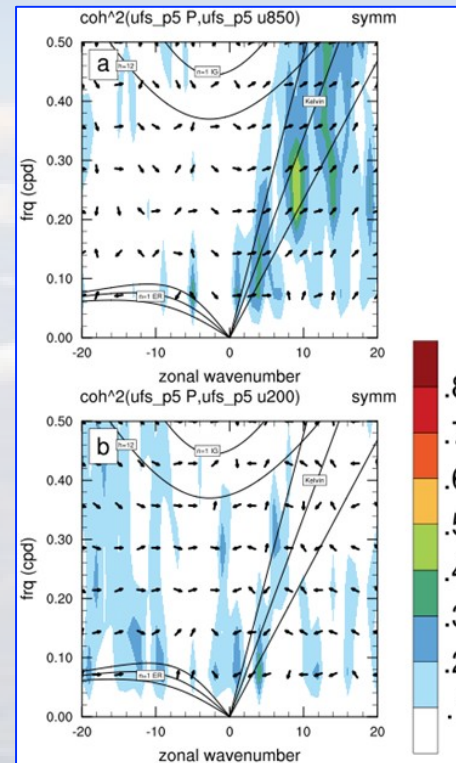
<https://dtcenter.org/community-code/metplus>



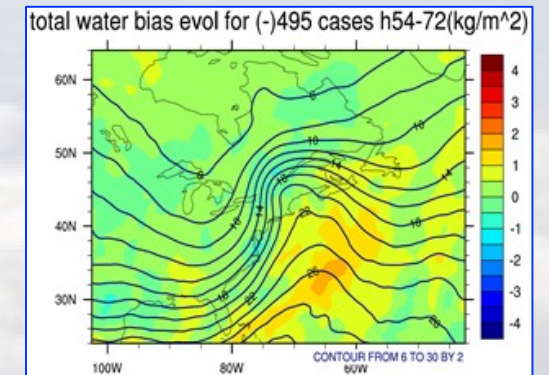
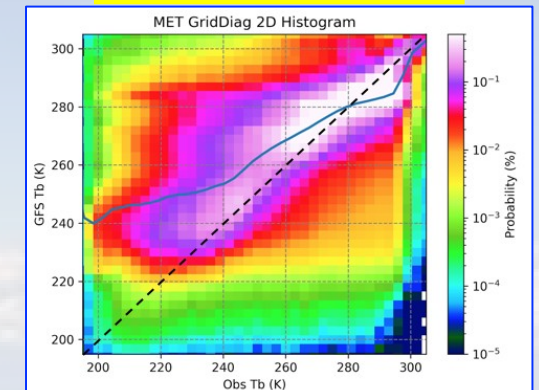
Errors and Tendencies within a columns, soundings, or profiles.



S2S Diagnostics including planetary waves.



Multivariate Relationships.



Systematic Biases.

How to connect HSD steps to improve the model development process?

→ Corresponding software development for the CCPP Suite Simulator via “on/off” switches in **Single Column Model (CCPP SCM)**.

Within the physics step, the model-state (S) is updated after each physics scheme is called:

- Radiation
- Surface
- Land-surface
- Planetary Boundary Layer
- Gravity Wave Drag
- Ozone Photochemistry
- Upper-Atm H₂O
- Deep Convection
- Shallow Convection
- Cloud Microphysics

$$\begin{aligned} \frac{\partial S_{RAD}}{\partial t} &+ \\ \frac{\partial S_{SFC}}{\partial t} &+ \\ \frac{\partial S_{LSM}}{\partial t} &+ \\ \frac{\partial S_{PBL}}{\partial t} &+ \\ \frac{\partial S_{GWD}}{\partial t} &+ \\ \frac{\partial S_{Ozone}}{\partial t} &+ \\ \frac{\partial S_{h2o}}{\partial t} &+ \\ \frac{\partial S_{CNVD}}{\partial t} &+ \\ \frac{\partial S_{CNVS}}{\partial t} &+ \\ \frac{\partial S_{MP}}{\partial t} &= \\ \frac{dS}{dt} & \end{aligned}$$

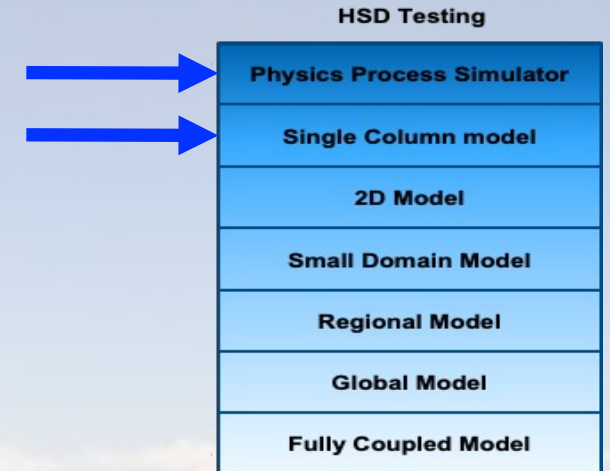
To isolate the impacts of changes in individual physics schemes, we can replace the active physics schemes with **data-driven physics tendencies**.

$$\begin{aligned} D_{RAD} &+ \\ D_{SFC} &+ \\ \frac{dS_{LSM}}{dt} &+ \\ D_{PBL} &+ \\ D_{GWD} &+ \\ D_{Ozone} &+ \\ D_{h2o} &+ \\ D_{CNVD} &+ \\ D_{CNVS} &+ \\ D_{MP} &= \\ & \frac{dS}{dt} \end{aligned}$$

Advance model state w/ **all active** physics schemes.

$$S_{t+1} = S_{t0} + \frac{dS}{dt} dt$$

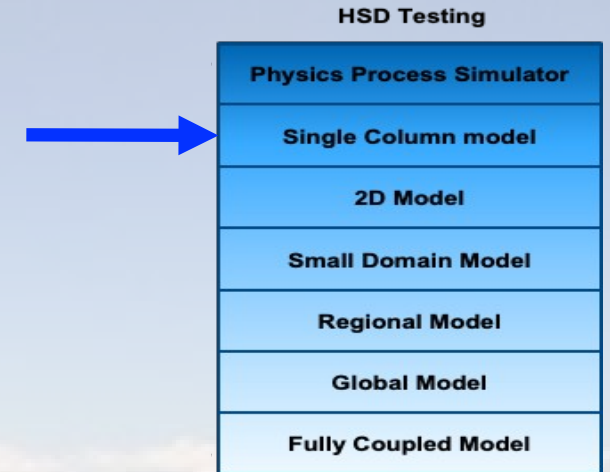
Advance model state w/ only **one active** physics scheme.



For example, here all of the physics schemes, *except the land surface model*, have been “**turned off**” and data-driven physics tendencies have been “**turned on**”.

Feature: Test physics coupling scheme-by-scheme

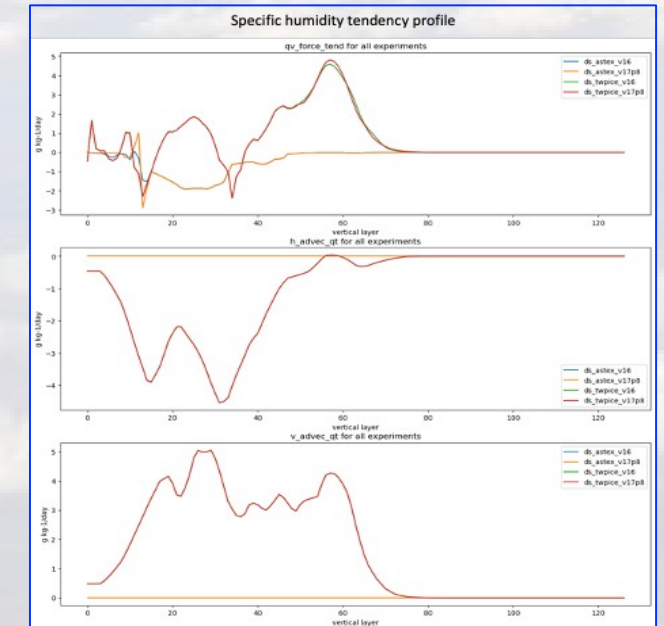
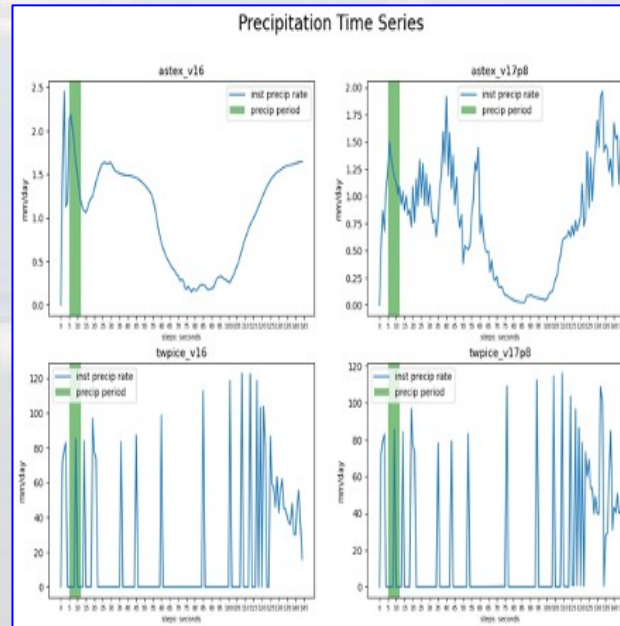
- ➔ Easy process of porting and compiling the code.
- ➔ Attractive elements as teaching tool: (1) offers relatively large library of physical suites, (2) provides variety of pre-processed forcing data.
- ➔ Allows students to design experiments to understand behavior of physical parameterizations in different environments, and explore limitations of the approach.



Assignment

Simulation of active or suppressed convection by two physics suites (e.g., GFS v16, GFS v17P8) in two different environmental conditions (ASTEX, TWICE).

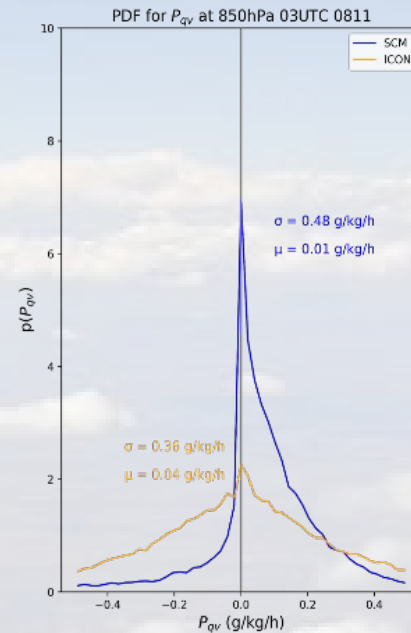
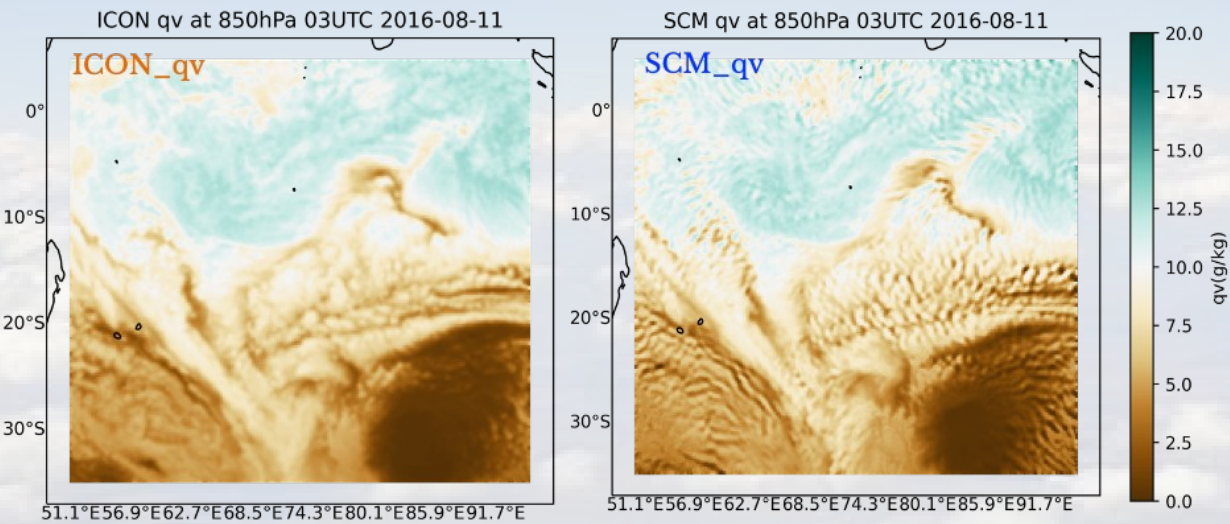
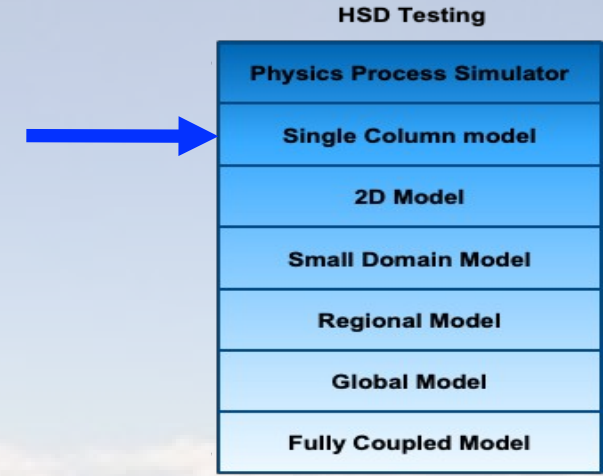
“My decision to select the CCPP SCM, developed by DTC, was influenced by my current work with the NOAA UFS which uses CCPP for majority of physics parameterizations in the atmospheric component, and further motivated by the detailed user and technical guide that accompanies the public release of CCPP SCM code.”



Hierarchical System Development: Example Model Uncertainty – Model Intercomparison Project (MU-MIP)

Hierarchical testing for improvement of stochastic and deterministic physical parameterizations.

Key Method: Compare state variables and tendencies in a convection-permitting high-resolution simulation against a lower-resolution parameterized-convection simulation.



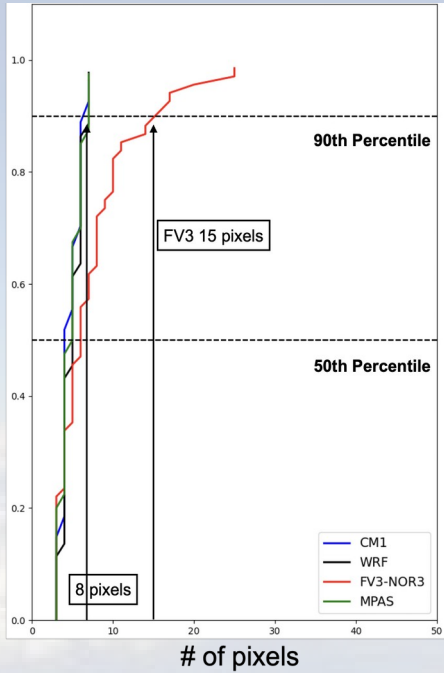
SCM: Computationally cost-effective way to characterize model uncertainty to inform model development.

- An array of CCPP SCM runs at 44,000 grids over Indian Ocean for 40-days (reinitialized every 3 hrs for 6 hr forecast), driven by ICON coarse-grained forcing.
- CCPP SCM reproduces the spatial distributions of meteorological variables.
- PDFs show **SCM** has larger probability for positive physics tendencies of q_v than **ICON**, indicating missing or misrepresenting physical processes in low resolution SCM runs.

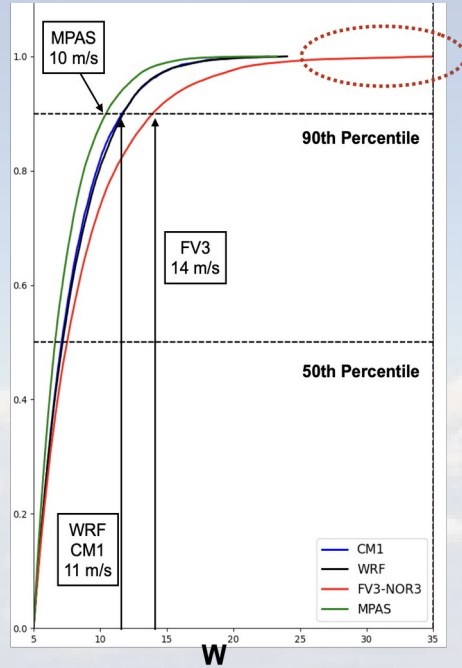
Hierarchical System Development: Example

Evaluation of Convective Storm Characteristics/Biases Across Model Cores

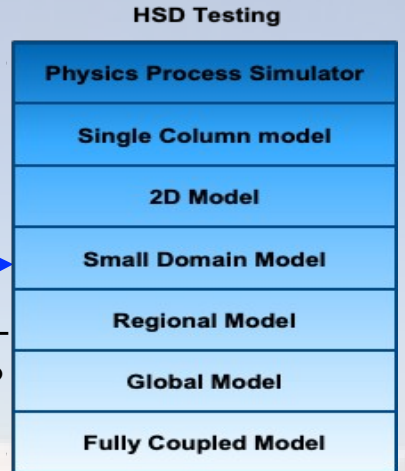
Size (# of pixels) for storm objects
Squall Line simulation 0-2 hours



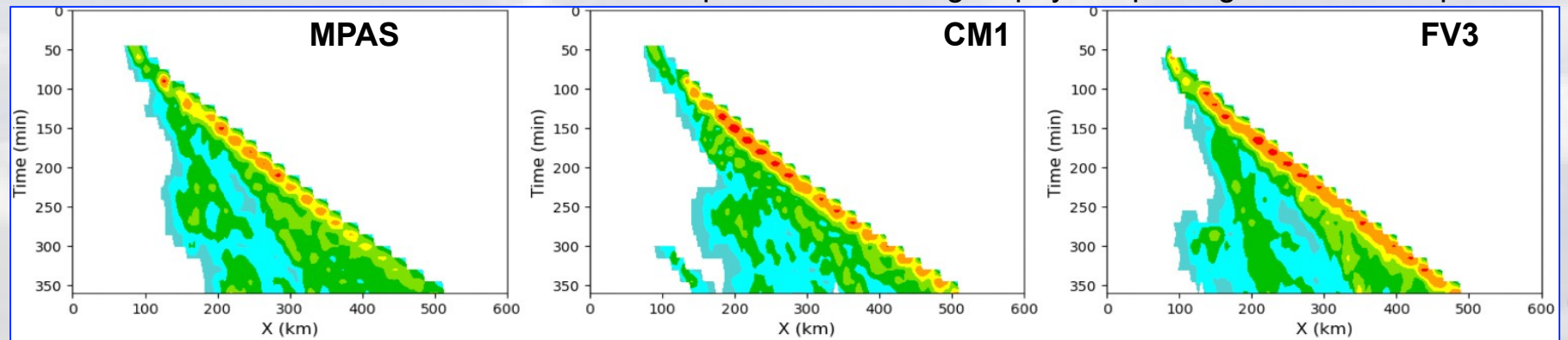
Squall Line W's Cumulative
Frequency over 6hr simulations



- Understanding the characteristics of convection from full physics simulations is difficult.
- Less complex framework to better understand systematic biases: 3D simulations using idealized conditions.
- NCGPS and DCMIP2016:
Only looked at single supercell.
- Most CONUS convection occurs in lines or clusters: perhaps idealized squall line simulations more appropriate?
- Squall lines generate a large number individual cells: Better sample size?
- Can specify CAPE (instability) and vertical wind profile: generating a wide variety of convective lines, intensities, precipitations, etc.
- Controlled comparison of various systems for surface precipitation, vertical velocity, cloud top depth, etc.
- NCAR is in the process of making all physics packages CCCP compliant.



Hovmoller Diagrams for 3 Models
Strongly Shear Squall Line
0-6 hour 15 min Accum. Precip



Hierarchical System Development: Example UFS Case Studies

- A platform to share UFS model representative case study configurations, datasets, results, and example visualization scripts.
- ufs-case-studies have recently been integrated into SRW apps.
- DTC and EPIC teams are collaborating to update repository & instructions.

Case Studies for UFS Weather Model
develop

Search docs

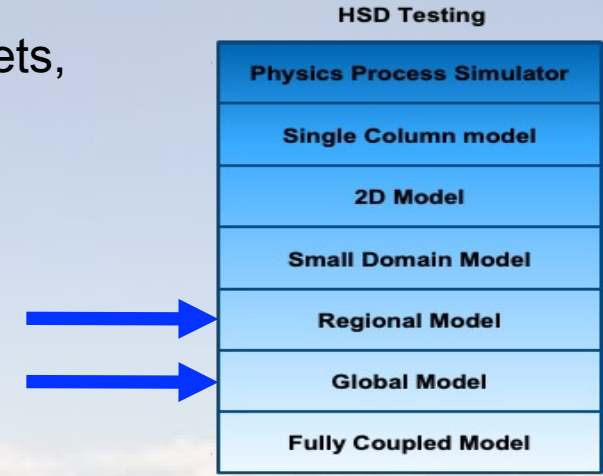
1. Introduction
2. 2018 Hurricane Michael
3. 2019 Hurricane Barry
4. 2019 Hurricane Lorenzo
5. 2019 Halloween Storm
6. 2020 Easter Sunday Storm
7. 2019 Memorial Day Heat Wave
8. 2020 January Cold Blast
9. 2020 Cold Air Damming
10. 2020 Denver Radiation Inversion
11. 2020 July CAPE Case
12. Example scripts
13. Using another physics suite
14. Hierarchical Testing Framework

Docs » Welcome to the Case Studies Page for the UFS Weather Model [Edit on GitHub](#)

Welcome to the Case Studies Page for the UFS Weather Model

This documentation provides resources for representative case studies in the Unified Forecast System (UFS) Weather model using [UFS Medium-Range Weather \(MRW\) Application](#) (for community), [UFS Short-Range Weather \(SRW\) Application](#) (for community), and NOAA Environmental Modeling Center's [Global Workflow](#) (for developers).

MRW App Global Workflow SRW App

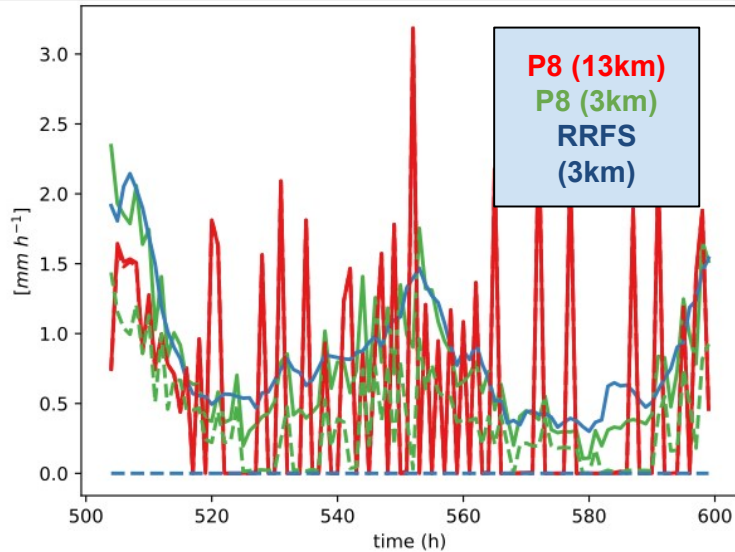


UFS Case Studies: An entry point for UFS HSD

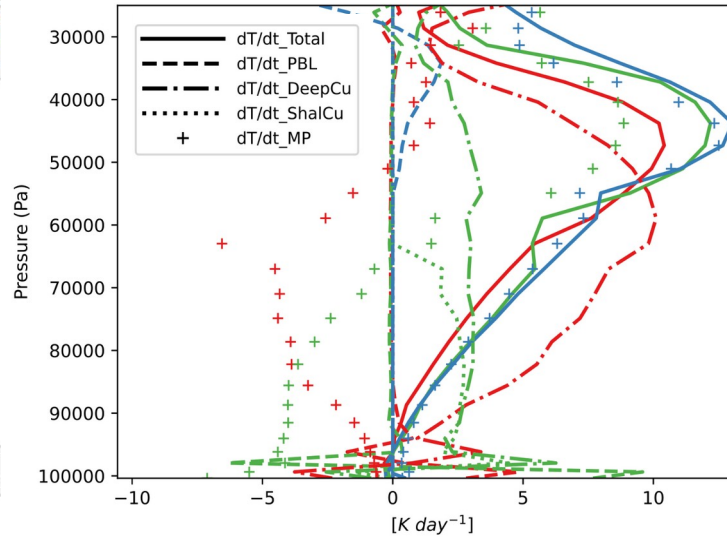
Read the Docs: <https://ufs-case-studies.readthedocs.io/en/develop/index.html>

GitHub: [dtcenter/ufs-case-studies](https://github.com/dtcenter/ufs-case-studies)

Total (solid) vs Parameterized (dashed) Precip Rate [mm/hour]

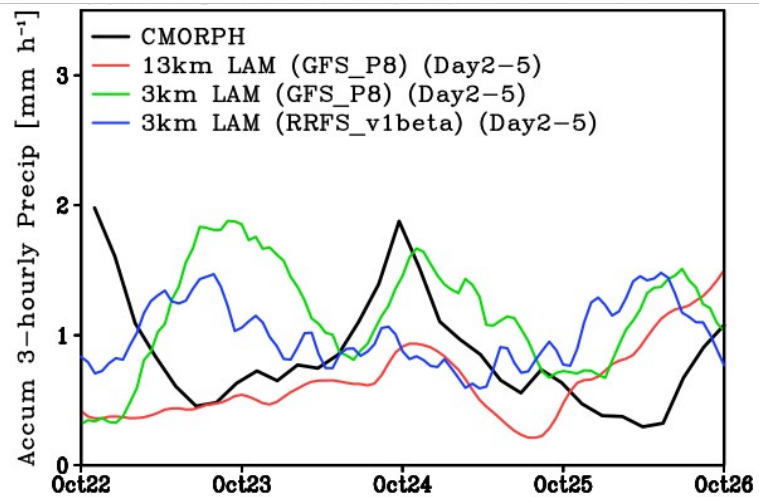


dT/dt by model physics

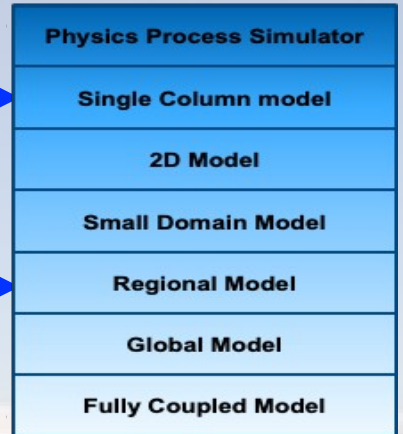


SCM

LAM



HSD Testing



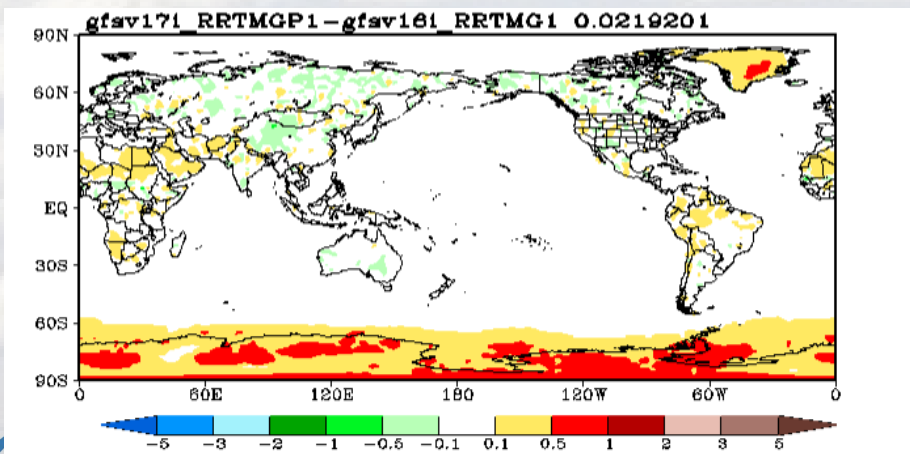
- SCM better than LAM wrt rainfall amount & variations.
- Large-scale env critical for simulating MJO-induced rainfall.
- Physics (3 km vs 13 km): higher res → more realistic.
- deep_cu may be activated too frequently.
- Tendency analysis: reality is somewhere b/t 13- & 3-km runs.

Results based on UFS SRW v2.0 and CCpp SCM

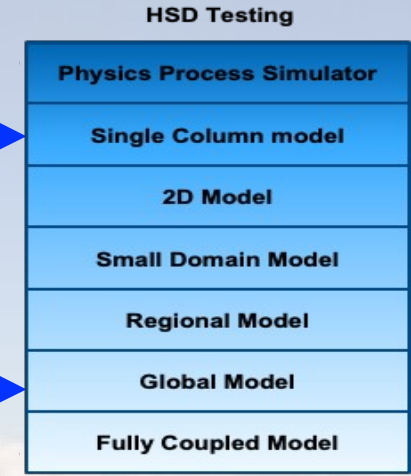
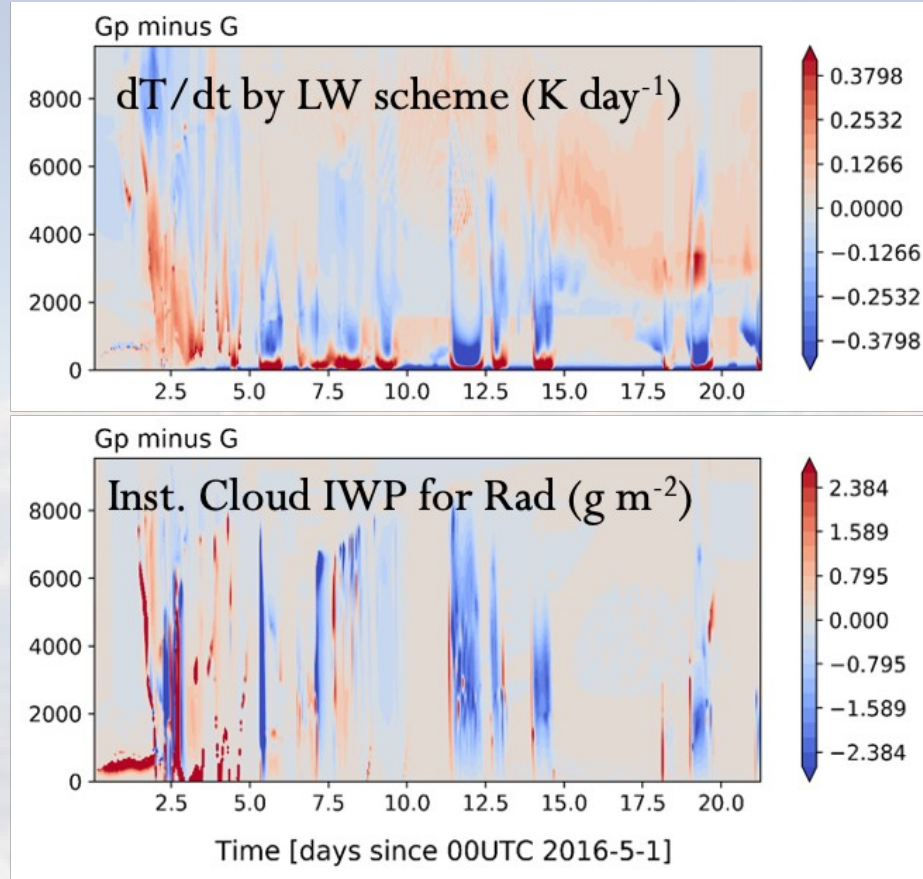
v6.0

Hierarchical System Development: Example Physics Testing and Evaluation for the UFS MRW and S2S Applications

**SCM T&E of warmer Antarctic
in RRTMG1 for prototype-8
physics using ARM AWARE
case - Informed possible cloud-
radiation interaction issues in addition
to land-atmosphere interactions;
assisted decision making for exclusion
of RRTMG1 in public release of P8.**



EMCVSDB

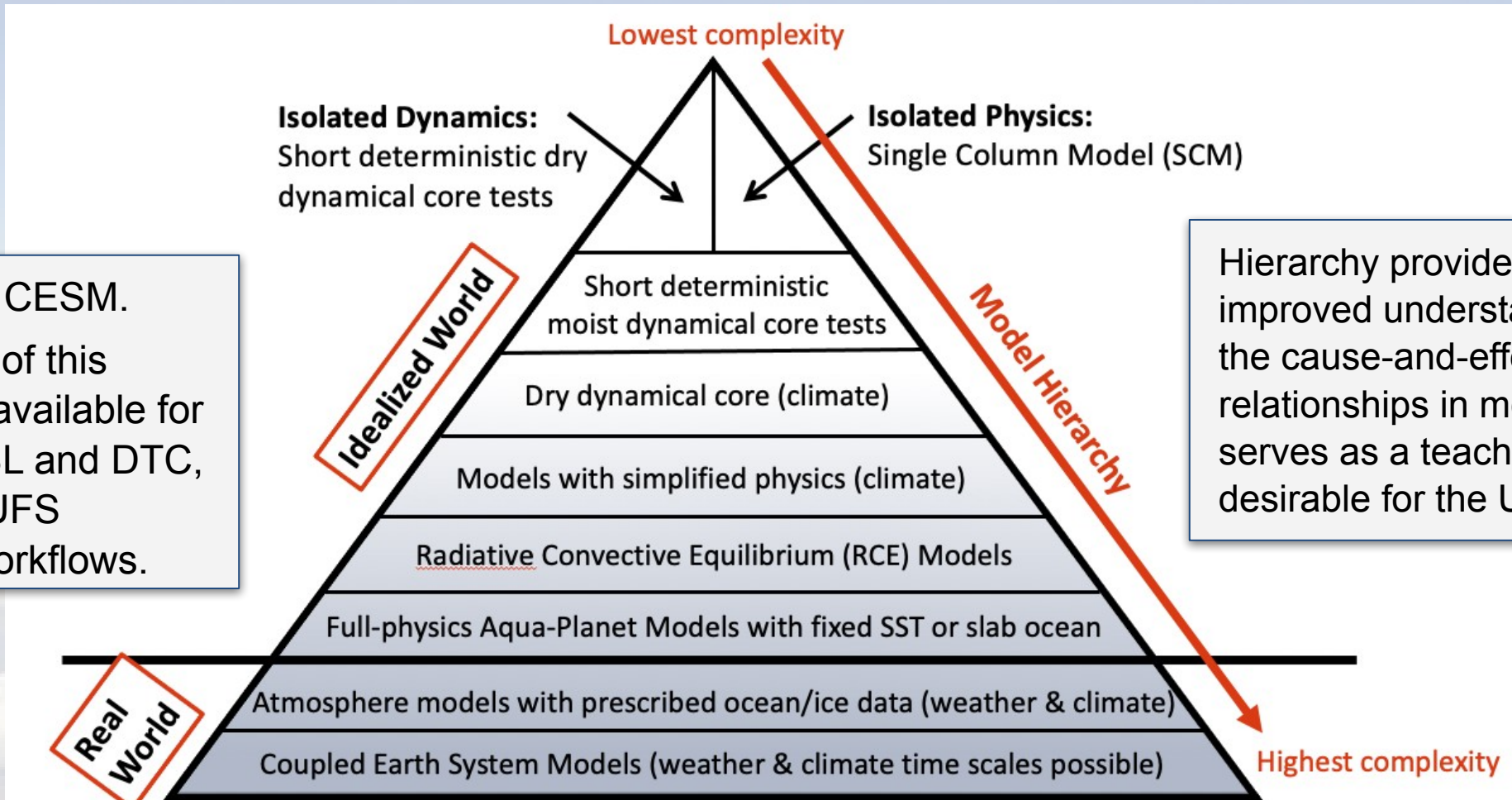


Excessive near-surface LW heating in GP but
no corresponding cloud differences

Example of an Existing Model Hierarchy: CESM's "Simpler Models"

Purpose: HSD approach for the climate community: NCAR's CESM 'Simpler Models' framework.

- ➔ Many CESM 'Simpler Models' elements (see below) for the **Global Model** domain + **SCM** are similar to what we are trying to build for the UFS.



FV3 is an option in CESM. Selected elements of this hierarchy are also available for FV3 at GFDL, NSSL and DTC, but not via official UFS distributions and workflows.

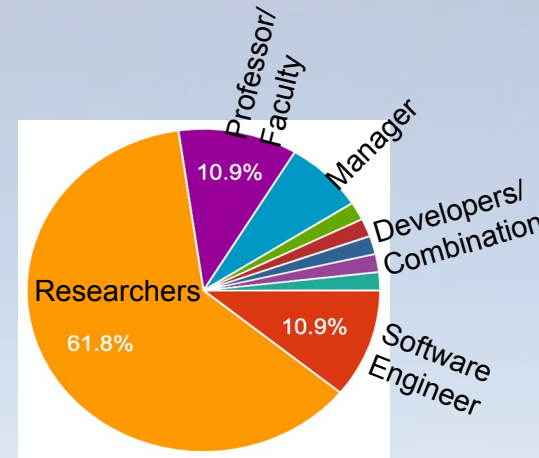
Hierarchy provides an improved understanding of the cause-and-effect relationships in models & serves as a teaching tool: desirable for the UFS

Adapted from a talk by Christiane Jablonowski (Univ. Michigan) at a EPIC-UFS workshop in 2022.

HSD Community Survey and DTC-EPIC White Paper on HSD

Purpose: To gather insights & feedback from broader community to help shape future direction of HSD.

- 55 participants from a broad spectrum of disciplines and organizations.
- Questions pertained to the necessity to develop HSD capabilities such as nesting/idealized/LES/CRM/etc.

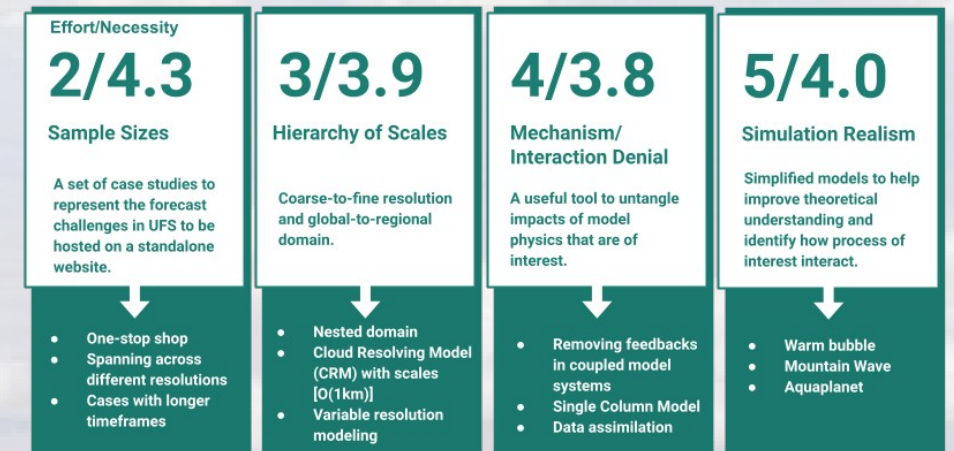


Pie chart of survey respondents' roles



Emerging themes:

- Importance of HSD to span across all Earth system model components and not just land-atmosphere.
- Need for highly configurable and well-documented testing workflows, such as for idealized cases.
- “One-stop-shop” to test relevant case studies using different UFS capabilities, tools, and software, which are readily available and easy to install.
- Capability to initialize a model with a large variety of datasets.



Effort and necessity rankings on the four HSD aspects proposed in the white paper for UFS HSD

Summary – Challenges & Opportunities

- **Earth System Models (ESMs) for weather and climate are becoming increasingly complex**, with many processes and interactions. We need to get **right answers for the right reasons!**
- **Hierarchical System Development (HSD) is a systematic “engineering” approach** that tests small elements (e.g. physics schemes/subroutines) of an ESM first in isolation, then progressively connects elements with increased coupling between ESM components (e.g. via SCMs, and small-domain and limited-area models), regional and global models, all the way to a fully-coupled global model.
- **HSD can help improve understanding of spatial and temporal dependencies** in model physics, i.e. consistent solutions between models/applications at different resolutions. Also, **HSD process is concurrent and iterative**, i.e. more complex HSD steps can provide information to be used at simpler HSD steps, and vice versa.
- The **Common Community Physics Package (CCPP)** provides an **efficient infrastructure** and **set of physics** that connects HSD steps, where **CCPP is under active development** by the community, with new parameterizations and framework capabilities being added.
- **METplus** provides the necessary **verification** that includes metrics at the **process level**.
- **DTC and EPIC worked together to develop a HSD White Paper** describing different aspects of the HSD approach for efficient and effective UFS model development and improvement (recently completed and will be posted on the DTC, UFS-community and EPIC websites).

DTC Visitor Program

<https://dtcenter.org/visitor-program>

Propose a project to work on with us!

Two types of visitor projects:

- Principal Investigator (PI) - Up to 2 months salary, travel and per diem - can be split into multiple visits.
- Graduate Student - Up to 1 year of temporary living per diem and travel expenses for graduate student, plus support for advisor visits.

See Announcement of Opportunity on DTC website for more information on how to apply and guidance on topics of interest.

This is an important mechanism to support HSD-related work that will be of great benefit to the NWP and Earth system research and modeling community!

