Unifying Innovations in Forecasting Capabilities Workshop, July 18-22, 2022, College Park, MD

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# On the Development and Evaluation of Atmospheric Model Physics for the Unified Forecast System Applications Across Scales

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**Acknowledgment**: This project is a collaborative community effort. NOAA NWS/STI and OAR/WPO program offices are acknowledged for providing funding support for some of the projects described in this presentation.



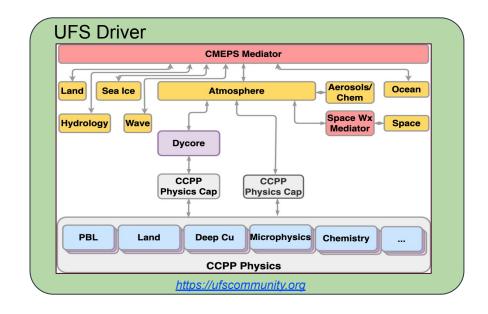
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NOAA is collaborating with the US weather and climate science community to develop the next generation fully coupled earth system modeling capability for both research and operational forecast applications across different temporal and spatial scales.



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WAVEWATCH III wave
CICE6 ice
GOCART aerosol
Noah-MP land

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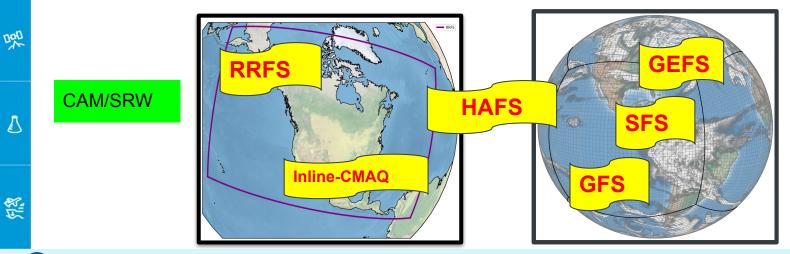
# **Physics for UFS Applications:**

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- Develop and improve physics parameterizations for UFS applications to reduce model systematic biases and maximize model prediction skills.
- Unify physics parameterizations for all applications across different spatial and temporal scales to speed up the R2O transition of physics innovations and to reduce the cost of operational systems maintenance.



#### MRW/S2S

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## **Physics Updates for MRW/S2S Prototype #7**

			_
	GFS.v16	UFS	
Cumulus Convection (Shallow & Deep)	sa-SAS	Positive definite mass flux; stochastic convective organization; Improved CAPE	
Surface Layer	GFS	Sea spray; optimization	Update
PBL	sa-TKE-EDMF	Positive definite tracer advection; optimization	
Non-orographic GWD	uGWP v0	uGWP.v1 (Yudin et al., 2021)	
Orographic Gravity Wave Drag Small-scale gravity-wave drag (new) Turbulence Form drag (new)	Kim & Arakawa (1995)	uGWP.v1 Kim and Doyle (2005) Tsiringakis et al. (2017) Beljaars et al. (2004)	
Land	Noah LSM	NOAH MP and VIIRS veg type	
Aerosol	OPAC	MERRA2	New
Fractional grid	N/A	compositing albedo and emissivity; fractional grid enabled surface cycle; z_bot calculation for coupling stability etc	



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## **Physics Updates for MRW/S2S Prototype #8**

		GFS.v16	UFS	
	Microphysics	GFDL MP	<ul> <li>Thompson MP</li> <li>improve computational stability (inner-loop),</li> <li>optimize cloud cover and radiative fluxes</li> <li>use Semi-Lagrangian sedimentation for rain and graupel</li> </ul>	
>			<ul> <li>dse Semi-Lagrangian sedimentation for rain and grauper</li> <li>develop cloud-aerosol interaction scheme</li> </ul>	New
	Radiation (LW &SW)	RRTMG	RRTMGp (pending on improvement in computational efficiency)	
	Ice climatology	CFSR (model)	IMS-NIC (observation & retrievals)	
	land/sea/lake masks	MODIS	VIIRS	

- Convection, PBL, Noah-MP, GWD parameterizations included in P7 are further updated to improve their performances for both coupled and uncoupled models at C384 and C768 resolutions.
  - Some of the schemes are also tested and evaluated in RRFS and HAFS for physics unification.

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# Physics Planned for RRFS.v1

	Physics	SCHEME	REFERENCE			
<i>ज</i> ौं	PBL/Turbulence	MYNN-EDMF	Olson et al. (2019)	Gravity Wave Physics	Small Scale and Turbulent Orographic Gravity-Wave & Form Drag	Beljaars et al. (2004) Tsiringakis et al. (2017) Toy et al. (2021)
×≎	Surface Layer	MYNN	Olson et al. (2021)	Land Model	Noah-MP	Niu et al. (2011)
民	Microphysics	Thompson-Eidhammer	Thompson and Eidhammer (2014)	Large Lakes	FVCOM	Fujisaki-Manome et al. (2020)
	Climatological Aerosols	Thompson-Eidhammer	Thompson and Eidhammer (2014)	Small Lakes	FLake/CLM Lake	Mironov (2008)/Subin et al. (2012), Mallard et al. (2015)
⊿	Smoke and Dust	RAVE fire data, FENGSA scheme for dust	Ahmadov et al., Freitas et al., 2010	Near-Surface Sea Temperature	NSST	Fairall et al. (1996), Derber and Li (2018)
~	Shallow Convection	MYNN-EDMF	Olson et al. (2019) Angevine et al. (2020)	Long and Short Wave Radiation	RRTMG	lacono et al. (2008), Mlawer (1997)
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# **Model Physics for 2022 HAFS Real-Time Experiments**

		Suite 1	Suite 2
<i>ज्य</i> ौँ	Cumulus Convection (Shallow & Deep)	sa-SAS: Positive definite mass flux; Stochastic convective organization; Optimization for CAPE	sa-SAS: Positive definite mass flux; Stochastic convective organization; Optimization for CAPE, TC-specific tuning
	Surface Layer	GFS: Sea spray, optimization	GFS: Sea spray; optimization, TC-specific tuning
	PBL	Modified sa-TKE-EDMF: Positive definite tracer advection; TC-Specific tuning	Modified TKE-EDMF: Positive definite tracer advection; optimization, TC-Specific tuning
哭	Gravity Wave Drag	Orographic/Convective: On/Off	Orographic/Convective: On/Off
	Land Surface Model	Noah LSM	NOAH MP and VIIRS veg type
⊿	Microphysics	GFDL MP	Thompson MP (requires ~10% more resources)
	Radiation (LW & SW)	RRTMG (30 min)	RRTMG (30 min)

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For unification, physics options (Thompson MP, NOAH MP, uGWPv1) planned for GFS.v17/GEFS.v13 are also evaluated in HAFSv0.3 Suite 2 configuration.

# Aerosols: OPAC versus MERRA2

	OPAC	MERRA2	Tota	l aerosol opt	ical depth (0.55 n
			OPAC	0.157233	MERR2 0.15
Horizontal resolution	5 by 5 degree	0.5 by 0.625 degree	FON -	Apre-Park	
Vertical levels	5 regimes (One layer/two layers)	72 (Surface to 1 Pa)	30N		0.8 30N
Aerosol types	10 (1 inso, 1 so, 2 ss, 4 mineral, 1 su)	15 (5 du, 5 ss, 2 oc, 2bc, 1su)	305-	hak	<sup>EQ</sup> 30S
Stratosphere volcano	Background (1.e-4)	Assimilated SS and SU		Sector 1	<sup>0.2</sup> 60S
Data Collected	Before 1998	2003	0 6DE 120	E 180 120W 60W	0 60E 120E 180
			Sulphate	aerosol. dust. sea	Salt, black carbon, orga

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- OPAC has been used in NCEP operational models for more than 20 years.
- MERRA2 is now active in the UFS Prototypes, RRFS and HAFS for computing aerosol direct radiative forcing.
- Development is underway to take into account interaction of aerosols with clouds

# **Microphysics: GFDL MP vs Thompson MP**

	GFDL MP (single moment)	Thompson 2008/2014 (double)
prognostic variables	qv, ql, qi, qs, qr, qg	qv, ql, qi, qs, qr, qg, ni, nr (2008) + nc, nwfa, nifa (aerosol-aware)
condensation and evaporation	Lin, et al (1983)	Yau and Austin (1997), Thompson and Eidhammer(2014)
mixed-phase clouds	yes	yes
precipitation sedimentation	qi, qr, qs, gq sediment vertically	qi, qr, qs, qg sediment vertically <mark>(ql)</mark>
assumed PSD	exponential	generalized gamma

- GFDL MP has been used in operational GFS and GEFS since 2019.
- Significant effort has been put into eliminating computational instability of Thompson MP in both global and regional models. Subcycling microphysics and semi-Lagrangian sedimentation (applied to rain and graupel) techniques have been developed and successfully tested and evaluated in these models.
- Thompson MP without aerosol awareness is currently running in **RRFS**, **HAFS Suite-B**, and **UFS Prototype 8**.

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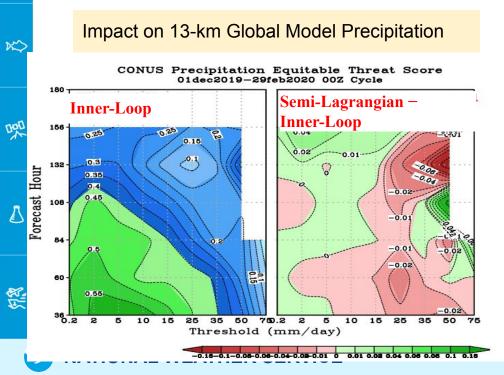
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#### **UFS Microphysics Development**

The Semi-Lagrangian sedimentation of rain and graupel has been implemented in the Thompson MP scheme to improve its accuracy and **computational efficiency** (> 12% reduction). It has been extensively tested and incorporated into the most recent UFS prototype configuration.



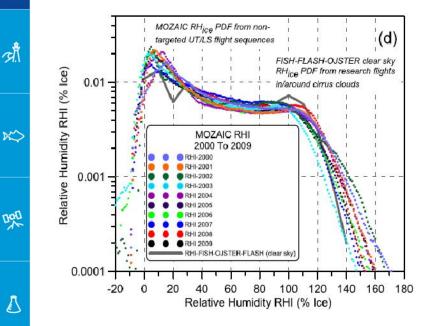
#### Precipitation Histogram 3-hr Accumulations OBSERVATIONS (58169 pts) 90000 CONTROL (149437 pts) SEMILAG (138483 pts) # of cases: 10 80000 70000 Counts 50000 50000 4481 40000 30000 20000 10000 Bine (in )

Impact on 3-km RRFS

reduces the high biases in the 3-h accumulated precipitation rates < 2.5 inches

# **UFS Microphysics Development -- Challenges**

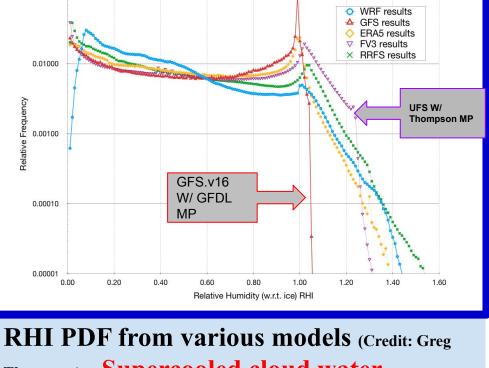
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Observed frequency distribution (PDF) of RH relative to ice (RHI) from MOZAIC flight-level obs. (Krämer et al.,2009)



Thompson). Supercooled cloud water presents a hazard to aviation !

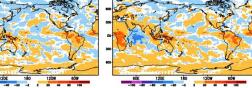
# **UFS Microphysics Development -- Challenges**

#### Integrated hydrometeors (global, tropical:30S-30N)

g/m2	GFSv16 GFDL MP	GFSv16 Thompson MP	IFS
Cloud liquid	(77.6, 57)	(54,45.14)	(54.6, 50.13)
Cloud ice	(35.47, 23.82)	(8.67,12.32)	(20.17,15.14)
Snow	(17.57,13.75)	(54.3,40.97)	(49.63,43.14)
Ice + snow	(53.04,37.57)	(62.97,53.29)	(69.8,58.28)
Ice + snow + cloud liquid	(130.64, 94.57)	(117.42,98.43)	(124.4,108.41)

8bctl-CERES (5.351,7.503) 5th de Bbsup10 (1.094,2.157) 8bsup15-CERES (2.767,4.144)

ulwrftog (237.9.258.5



UFS p8b experiment: OLR varies with RHic for supersaturation

**UL: CERES obs** UR: RHi=125% LR: RHi=115% LL: RHi=110%

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These difference in hydrometer loadings affect radiative heating and radiative balances

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# **Further Development of Thompson MP**

- Improving cloud cover and radiative balances in global model
- Improving storm structure and precipitation in regional model
- Develop Aerosol-cloud-radiation interactions with a hierarchical approach
  - MERRA2 aerosol climatology, without aerosol chemistry, emission, physics (deposition and scavenging), and transport.
  - Thompson-Eidhammer double-moment scheme, with MERRA2 climatology for initialization and including in model integration aerosol emission, deposition and scavenging, and transport.
  - Thompson-Eidhammer double-moment scheme, including only water friendly and ice friendly aerosols, with emissions included (OAR/GSL).
  - Coupled to aerosols predicted by the online-GOCART aerosol module.

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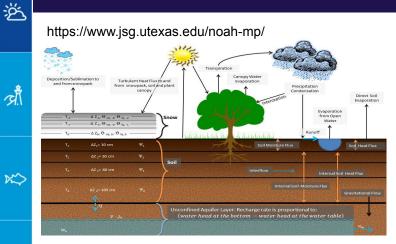
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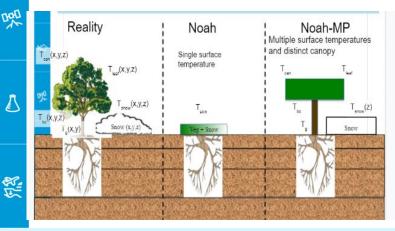
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# Land Surface Model: Noah vs Noah-MP LSM





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Noah-MP is a land surface model that allows a user to choose multiple options for several physical processes (courtesy of Mike Barlage)

- Canopy radiative transfer with shading geometry
- Separate vegetation canopy
- Dynamic vegetation
- Vegetation canopy resistance
- Multi-layer snowpack
- Snowpack liquid water retention
- Simple groundwater options
- Snow albedo treatment
- New frozen soil scheme
- New snow cover
- NOAH LSM has been used in NCEP operational models since mid 2000's
- NOAH-MP is now running in the UFS couple model prototypes (for GFS/GEFS/SFS).
- Currently actively tested in RRFS and HAFS.
- Recent updates include calling GFS and MYNN surface layer inside NOAH-MP, updating snow physics, using VIIRS veg type and land/lake masks, and developing land spin-up process etc



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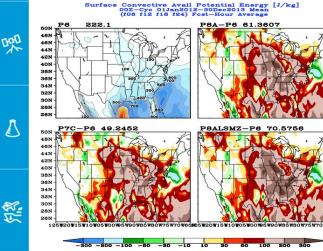
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#### Land Model Development, Evaluation, and Application EMC land and ensemble team

#### Develop/refine Noah-MP code

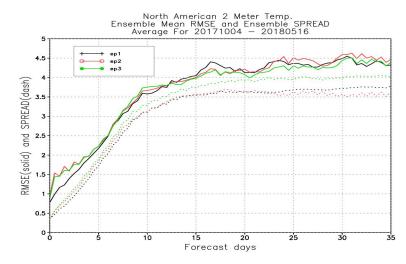
A series of mini PT tests have been carried out to test each land surface upgrade to address some land-related biases found in P7 and P8, An option of the **thermal roughness length scheme** was added to the model. The canopy height dependant scheme was selected to reduce the warm bias of the minimum 2-m T. **Snow compaction** was adjusted to improve snow forecast

#### Surface CAPE [J/kg] Day 1 Mean Mini-prototype Cases



Issue: CAPE too low, especially over central US Solution: improve surface coupling with Noah-MP, increase latent heat flux

#### **GEFS tests with updated NOAH-MP**

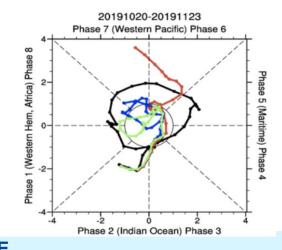


Ensemble forecasts made with the latest p8 physics suite (EP3) showed much improved T2m ensemble spread in cold seasons. We are still working towards understanding how the various physics updates contributed to this improvement, in particular the feedback between cloud microphysics and NOAH-MP.

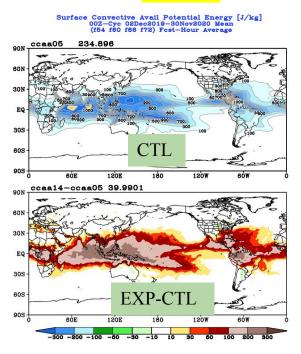
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# **Updated Convection and PBL Schemes**

- Updated sa-SAS cumulus convection, TKE-EDMF PBL and GFS surface layer scheme to improve CAPE and to reduce cold bias in the tropical troposphere found in GFSv16, and to improve surface temperature forecasts. (link)
- Added a positive definite **TVD** (Total Variance Diminishing) mass-flux scheme to remove negative tracers in the PBL and cumulus convection parameterizations.
  - Added A stochastic parameterization of organized tropical convection using cellular automata (Bengtsson et al. 2021) to improve MJO.







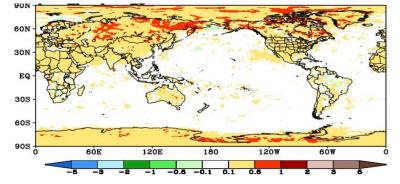
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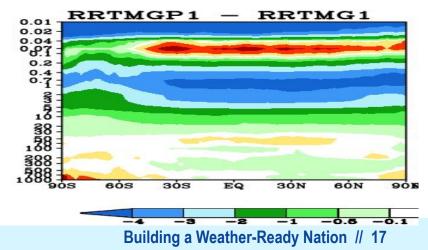
## **Challenges of Implementing RRTMGp in the UFS**

- RRTMGp is more computationally efficient than RRTMG in offline RTM calculations, but is still two to three times more expensive in the UFS after certain optimization and with halved spectral bands.
  - Much colder temperature in the upper atmosphere.
  - Larger downward LW and warmer surface temperatures

DJF-2020/21 T2m difference, RRTMGP-RRTMG, UFS



JJAS-2019 Zonal Mean Temperature, UFS



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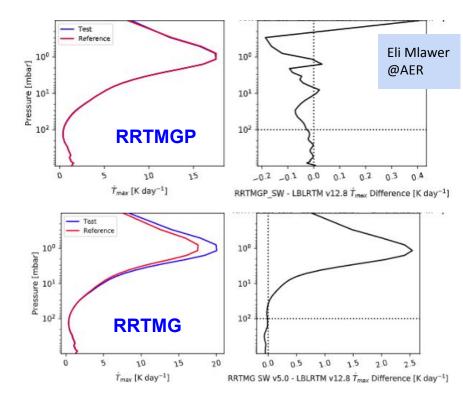
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## Radiation: RRTMG vs RRTMGp

- RRTMG was developed at AER ~ 20 years ago. The full radiation spectrum is divided into 16 bands for LW and 14 band for SW.
- RRTMGp is completely rewritten with modern fortran language and has more spectral bands and improved accuracy.

RRTMG has a large warm bias (higher HRs than the reference calculations) in the upper stratosphere / lower mesosphere. RRTMGP has fairly small errors everywhere, some positive and some negative. (Note the different x-axis scales in both the right-hand and left-hand plots.)



Values on left are average HRs for reference LBL calculations and fast codes for 51 RFMIP atmospheres. Code – reference differences are on right. Top two layers are at 0.28 mb and 0.1 mb.

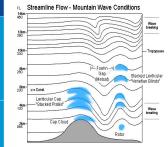
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# **Gravity-Wave Physics**

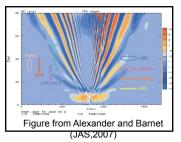




Low Froude Number Flow

Large-Scale Orographic GWD

Low-level flow blocking

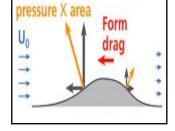


Non-stationary GWD



Small-scale

GWD



Turbulent orographic form drag

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**uGWD.v0 used in GFS.v16**: Kim & Arakawa (1995) O-GWD & Block, Yudin et al (2020) N-GWD **uGWDv1 tested in UFS Prototype 7**: Kim and Doyle (2005) O-GWD & Block Yudin et al (2021) N-GWD Tsiringakis et al. (2017) SS-GWD, Beljaars et al. (2004) TOFD



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# Challenges of Implementing uGWD.v1 in the UFS

# The NEW OGWD: improves tropospheric wind (verified against RAOBS)

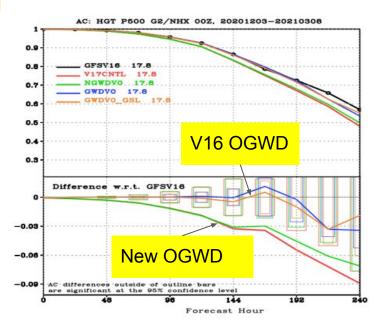
VWND (m/s) Bias over NH: fit to ADPUPA

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#### But degraded ACC, and precip (not shown)

00Z Cycle 120hr Fcst, 20201203-20210305 Mean VWND (m/s) Bias over NH: fit to ADPUPA 00Z Cycle 120hr Fcst, 20201203-20210305 Mean 50 GFSV16 GFSV16 x 100 100 (hPa) 150 (hPa) 150 200 DOD Pressure 200 θ 250 ssur 250 300 Pre 300 400 400 500 RAOBS 500  $\Lambda$ 700 700 850 925 1000 850 925 1000 12-1-08-06-04-020 020406080 9 18 27 36 45 -1.2 -1 -0.8-0.6-0.4-0.2 0 18 27 36 0.2 ģ VWND Bias Obs Count (x1000) **VWND** Bias Obs Count (x1000) 12 new OGWD "old" OGWD



The new N-GWD also made polar night jets too weak (not show here).

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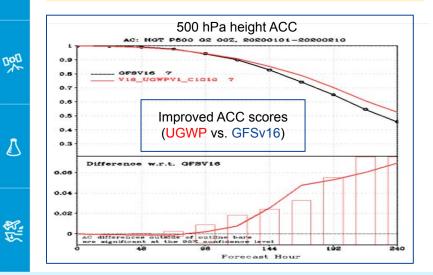


# **Refine and Develop Unified Gravity Wave Drag**

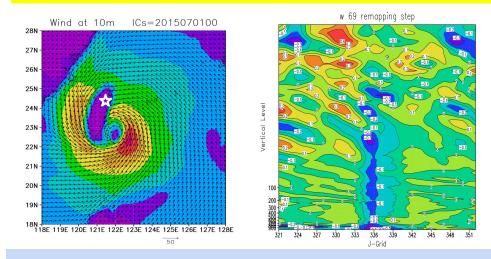
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Improvement was made with tuning of large-scale orographic gravity-wave drag and low-level blocking schemes of the Unified Gravity Wave Physics (UGWP) suite.



# However, the model still has stability issue over complex terrains with strong surface winds



The investigation is still ongoing. So far the **TOFD** (turbulence orographic form drag) parameterization has been found to be likely the cause.

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#### Improve Hurricane Track and Intensity for both GFS and HAFS Jongil Han, Wei Li, Chunxi Zhang, Jiayi Peng (EMC)

- Significant changes in the GFS cumulus convection, vertical turbulent mixing, and surface layer schemes in the GFS P8B physics updates have been made for potential implementation in the GFSv17, which helped to enhance the underestimated CAPE, to reduce the cold biases in tropospheric temperature profiles over the Tropics, and to reduce the nighttime cold and daytime warm 2m temperature biases over forest regions.
- In the GFS P8B physics updates, a positive definite TVD (Total Variation Diminishing) mass-flux transport scheme and a method for removing negative tracer mixing ratio values have been also implemented into the PBL and cumulus convection schemes.
- Compared to GFSv16 convection schemes, however, the updated cumulus convection schemes tended to reduce the TC intensity in HAFS as well as in GFS, increasing the negative TC intensity biases.

# It has been found that the cause for the reduction of TC intensity in the P8B physics update is mainly due to the neglect of certain scale-aware features from the GFSv16 convection scheme.

- □ In the P8C update of the convection schemes, **the scale-aware cloud base mass flux has been back to the GFSv16 convection version**, which led to a significant improvement in the TC intensity and track forecasts from the P8B in both GFS and HAFS, comparable to GFSv16.
- From the 3-km RRFS experiments, in addition, the current TKE-EDMF PBL scheme tends to overgrow the PBL especially during late afternoon, producing unrealistic widespread popcorn-like precipitation.
- To reduce the PBL overgrowth, in the P8C update the virtual potential temperature at top of the surface layer rather than that at the model first layer is used as near surface virtual potential temperature in the bulk-Richardson number computation, which helps to largely suppress the unrealistic widespread popcorn-like precipitation.
- **To further improve hurricane track and intensity forecasts, a parameterization to include environmental** wind shear effect in the cumulus convection and PBL schemes is being developed.

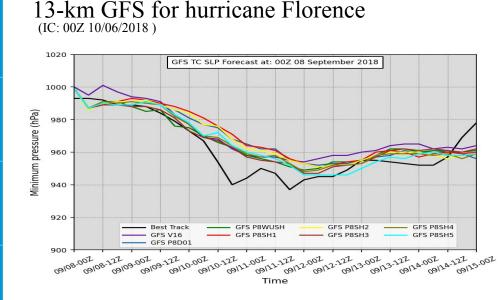
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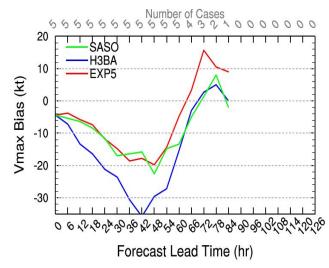
#### Improve Hurricane Track and Intensity for both GFS and HAFS Jongil Han, Wei Li, Chunxi Zhang, Jiayi Peng (EMC)



Best-Track (black), GFS V16 (purple) : GFSv16 run, GFS P8D01 (blue): GFS run with the latest P8 physics update, GFS P8DWUSH & GFSP8DSH\*: Same as GFS P8D01 but with wind shear effect in PBL & convection. Overall, including wind shear effect enhances the hurricane intensity by reducing the momentum mixing.

# 3-km HAFS for hurricane Ida: 2021082706 - 2021082806

#### Vmax Bias



SASO (green): HAFS with GFSv16 convection H3BA (blue): HAFS with GFS P8B physics update EXP5 (red): HAFS with GFS P8C convection and PBL physics update



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- Develop, test and evaluate each new, or updated, physics scheme by individual developers
- Run atmos-only experiments using either GFS.v16 or the latest UFS prototype as the control
- For most of the time, experiments are run at both the C384L127 and C768L127 resolutions, and for both winter and summer seasons
- Verification made against GFS.v16 analyses, satellite retrievals, surface and rawinsonde observations

#### No surprises !

Decision to move each scheme to the UFS coupled model prototypes are made based on

- Maintain GFS.v16 headline scores, including but not limited to 500-hPa ACC, CONUS precip ETS and bias scores, T2m, surface Winds, tropical winds etc
- check almost all forecast variables; causes for large or unexpected differences from the control experiment must be explained and understood
- Check computational stability, cost efficiency, restart reproducibility, compliance of UFS code standard; pass Regression Tests





#### UFS Prototypes: ... p6, P7a, 7b, 7c, p7.2, p8a, p8b, p8c

based on coupled model evaluation and community feedback, certain scheme is send back to developers for further update and evaluation

# Physics Development with the **Community** Beyond GFS/GEFS/RRFS/HAFS Prototypes and 2022

- Optimize the physics schemes included in the UFS Prototypes for GFS.v17 and GEFS.v13 to reduce model biases and improve forecast skills
- Finalize RRFS.v1 and HAFS.v1 physics configurations and improve forecast skills.
- Update and test online-CMAQ (~13-km NA Domain) physics packages
- Update PBL and surface-layer schemes to improve PBL inversion and surface weather sensitive elements
- Prognostic aerosols and their interactions with microphysics and radiation
- Unification of sa-SAS and GF convection schemes. Improving tropical waves and mid-latitude CAPE.
- Optimize NOAH-MP to reduce forecast biases. Develop land as a component model.
- Include lake models (e.g. FLAKE, FVCOM etc) in the UFS.

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- Further test and evaluate the unified gravity-wave physics package (uGWD.v1).
- Further test and evaluate RRTMGp. Adopt advanced cloud and hydrometer overlap schemes. Include non-LTE LW radiation and other minor solar UV bands.
- Improve representation of deep convection at grey-zone scales(<10km), including development of a prognostic closure.
- Improve consistency between clouds, radiation and microphysics through the development of a prognostic cloud fraction.
- Continued process level evaluation of new advanced physics processes descriptions in GFSv17, including tropical variability, microphysics/sea ice coupling over the Arctic regions, surface layer processes, etc.







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# From UFS Prototype 6 (GFSv16 physics suite) to the final coupled model Prototype 8 the following physics updates have been made by the UFSR2O community.

Introduced a **two-moment cloud microphysics** scheme (GFDL MP --> Thompson MP) Improved the cloud radiation interaction capabilities Introduce Semi-Lagrangian Sedimentation for improved stability and cost

Introduced a **new land model** (NOAH LSM --> NOAH-MP) Included a new more accurate radiation scheme for further evaluation (RRTMG -> RRTMGP) Introduced **new small-scale gravity wave** and **turbulent form drag** parameterizations Improved orographic gravity wave drag and mountain blocking Introduced a new parameterization for convective organization, and stochastic convective initiation Improved cumulus convection schemes and boundary layer schemes to address systematic biases Introduced **new stochastic physics** in the ocean, land-surface and the atmosphere Introduced a **new positive definite tracer advection (TVD)** scheme in convection and PBL Improved the **coupling of the land model and surface layer** schemes. Introduced new land/ocean/lake masks, new ice climatology, and surface composites over the fractional grid Introduced new capability for **coupling between aerosols and physics** (UFSR2O atmospheric composition team/EMC)